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# Morphological control of InN nanorods by SAG-HVPE

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## Abstract

The control of the morphology of InN nanorods, which remains challenging due to complex mechanisms involved in the growth process, is essential for the next generation of nano- and optoelectronic devices. In this paper, we report on the Selective Area Growth (SAG) of InN nanorods on Ga-polar GaN/c-Al<sub>2</sub>O<sub>3</sub> template using Hydride Vapor Phase Epitaxy (HVPE). A systematic study of the evolution of the shape of InN nanorods under various growth conditions: growth temperature, growth time and the input NH<sub>3</sub> partial pressure, is carried out. The optimal growth temperature to achieve a perfect selectivity and prevent InN decomposition is first determined. The axial and radial growth rates dependence on the growth temperature and vapor phase composition with group V elements is discussed. The influence of mass transport on the InN nanorods geometry is shown to be more pronounced at extended growth time. The swapping of the nanorods morphology from pencil to a perfect hexagonal shape is controlled by varying the growth time. Photoluminescence measurements on InN nanorods are interpreted by considering a concentration of free electrons. The results reported herein provide relevant information for understanding SAG of InN, and could pave the way to develop high performance InN nanorods based devices.

**Keywords:** Hydride Vapor Phase Epitaxy, Indium Nitride, Selective Area Growth, Nanorods

## 1. Introduction

The III-nitride materials are promising for applications such as light emitting diodes, laser diodes, high power transistors and photovoltaic [1]. In fact, the binary InN material has unique and excellent intrinsic properties with the narrowest band gap of all the III-nitride family, low effective mass and a large drift-velocity, making it an ideal candidate for infrared light emitters and high-speed electronic devices [2], [3], [4], [5], [6]. However, the growth of high-quality InN layers is hampered by the high lattice mismatch with usual substrates [2], [7], [8], [9], [10], [11]. In an effort to circumvent this limitation, the 1D geometry of nanowires is used [12]. The small footprint on the substrate surface allows to improve the crystal quality and reduce the dislocation density through the effective stress relaxation [13]. Furthermore, the nanowire specific surface is larger than that of planar layers which is beneficial to enhance terahertz (THz) wave emission [14] and increases light output of LED devices [15]. The most widely used methods to grow nitride nanowires are Au-catalysed and self-catalysed growths. These growth techniques yield poor uniformity and random growth directions, while deep level defects due to the incorporation of the metal catalyst are observed in the materials [16], [17]. These issues can be addressed by the catalyst-free selective area growth (SAG) technique. Precise control of the size and the position of wires can be achieved by using openings on a dielectric mask defined by lithography. This approach is based on the difference of the nucleation barrier between the mask and the substrate.

Until now few studies have been reported on the SAG of InN nanorods due to challenges faced in obtaining a good selectivity with a high crystal quality. It is difficult to obtain a perfect selectivity in the usual temperature range for growing InN. To the best of our knowledge, the SAG of InN by metal organic vapour phase epitaxy (MOVPE) has never been reported. In molecular beam epitaxy (MBE), the growth of InN nanorods with a good selectivity was achieved. However, it is very difficult to control the morphological shape with a well-defined aspect ratio because of the complexity of InN growth kinetics [18], [19], [20], [21]. The perfect selective area growth of vertically aligned InN nanorods with a high aspect ratio was newly demonstrated thanks to the Hydride Vapour Phase Epitaxy (HVPE) process [22]. The SAG was performed on SiN masked Ga-polar GaN/c-Al<sub>2</sub>O<sub>3</sub> templates with circular openings of 200 nm. It resulted in perfectly hexagonal InN nanorods delimited with (1-100) m-planes, (11-20) a-planes and the top (0001) c-plane. However, it was reported that the optical and electrical properties of 1D nitride structures may heavily depend on their shape [23]. Indeed, the photoluminescence (PL) emission of InN nanorods or

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3 nanowires critically relies on their crystal quality and morphology (length-diameter-facets) [24],  
4 [25]. That is why the control of the hierarchy of the growth rates of the different nanorod facets  
5 and thereby the control of the final shape is of utter interest.  
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9 Crystal shape engineering of nitride structures by SAG-HVPE can be carried out by varying the  
10 vapor phase composition and the growth temperature. These experimental parameters govern the  
11 kinetics involved in the growth process [26], [27], [28]. Understanding how each parameter impacts  
12 the crystal growth of InN is important to monitor the form of nanorods. To the best of our  
13 knowledge, no study has ever been carried out to understand and ultimately control the SAG of  
14 InN nanorods. Therefore, it is timely to conduct detailed and systematic studies of the SAG of  
15 InN nanorods which is the aim of this work.  
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21 The effect of the growth temperature on the selectivity and the growth rates is first investigated.  
22 Then, the impact of the partial pressure of the V element on the morphology of rods is studied, as  
23 well as the evolution of the growth rates over time. Finally, the optical quality of grown InN  
24 nanorods is assessed by photoluminescence spectroscopy.  
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## 29 30 **2. Experiment**

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32 The SAG of InN nanorods was carried out in a 2-inch quartz reactor at atmospheric pressure  
33 placed in three-zone horizontal furnace. In the first zone, called the source zone, indium tri-  
34 chloride (InCl<sub>3</sub>) gaseous species were formed in a quartz boat by sublimation of an InCl<sub>3</sub> powder  
35 conducted by a nitrogen flux (N<sub>2</sub>) between 450 °C and 500 °C. Ammonia (NH<sub>3</sub>) was directly  
36 introduced into a central zone heated at a higher temperature for an efficient mixing of the gas  
37 species. The spot at higher temperature also suppresses the parasitical nucleation upstream the  
38 sample. The third zone is the growth zone. Ultra-high purity nitrogen (N<sub>2</sub>) is used as carrier gas.  
39 C-plane sapphire templates, covered with a 4 μm-thick Ga-polar buffer layer and masked with  
40 SiN<sub>x</sub>, were used as substrates. The pattern was defined with nano-imprint lithography (NIL) and  
41 consisted of arrays of circular holes of 200 nm spaced 2 μm apart. Nanorods morphologies,  
42 including diameters and heights were studied by Carl Zeiss Supra Scanning Electron Microscope  
43 (SEM) using an acceleration voltage of 3 kV. The optical properties were analyzed by  
44 Photoluminescence spectroscopy (PL) using an excitation wavelength of 780 nm, focused on  
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nanorods ensemble with a spot of 200  $\mu\text{m}$ . The luminescence signal was detected through a Fourier-transform infrared spectrometer using a liquid- $\text{N}_2$ -cooled InSb detector.

### 3. Results and discussion

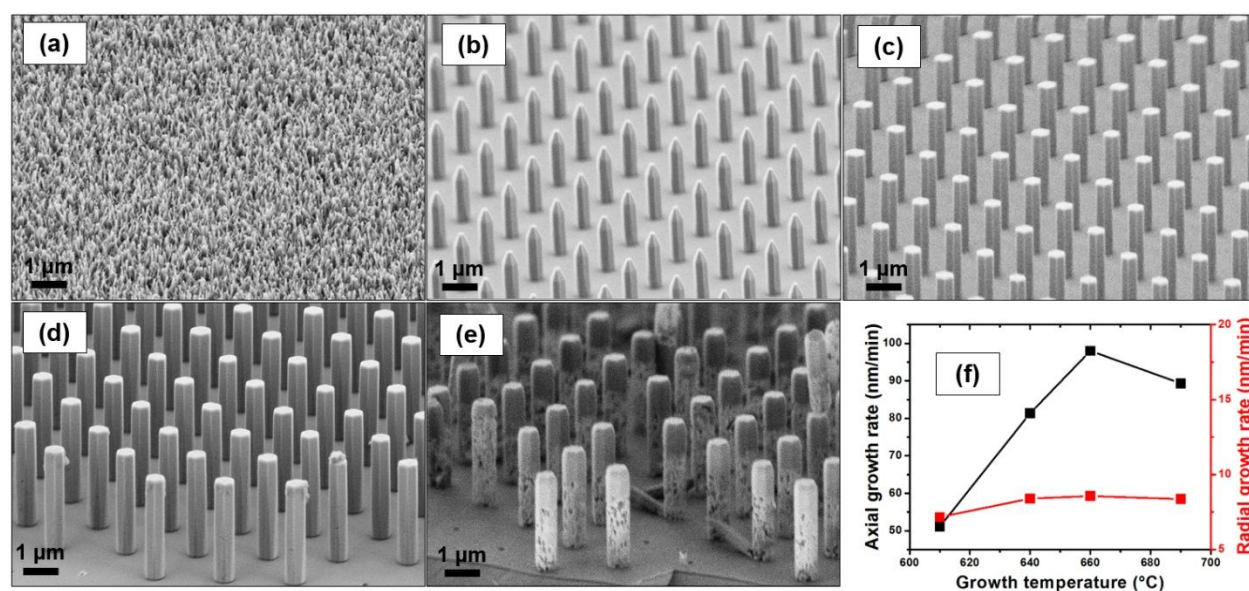
The growth morphology of InN nanorods is sensitive to several factors, mainly the growth temperature and the V/III ratio. The growth temperature affects the crystal growth of InN material, both the crystal quality and the growth rates, while insuring at the same time a good selectivity on the mask. Consequently, we first systematically studied the effect of this key parameter by fixing all other growth conditions. The input partial pressures of  $\text{NH}_3$  ( $P_{\text{NH}_3}^0$ ) and  $\text{InCl}_3$  ( $P_{\text{InCl}_3}^0$ ) were respectively kept at  $1.00 \times 10^{-1}$  atm and  $1.38 \times 10^{-3}$  atm yielding to V/III ratio of about 72. These partial pressures were initially inspired by the work in Ref [22]. The growth temperature was varied from 580  $^\circ\text{C}$  to 690  $^\circ\text{C}$  in order to define the temperature window of the SAG regime. The choice of this range of temperature was imposed by the decomposition temperature of InN. On the other hand, the partial pressure of  $\text{NH}_3$  is considered as one of the most impacting parameters on InN growth due to the weak nature of the In-N bond but also to the addition of hydrogen coming from its decomposition. To understand the SAG of InN nanorods, a study as a function of  $P_{\text{NH}_3}^0$  was also carried out. The growth temperature was kept at 640  $^\circ\text{C}$  and the growth time was 5 minutes.

Furthermore, several studies on SAG have shown that the grown nanostructures undergo morphological evolution from the initial to the stable shape driven by free-energy minimization [28]. To gain a further understanding of the SAG growth of InN nanorods, additional experiments were carried out by varying the growth time. The growth temperature was 640  $^\circ\text{C}$ ,  $P_{\text{NH}_3}^0$  and  $P_{\text{InCl}_3}^0$  were respectively kept at  $1.00 \times 10^{-1}$  atm and  $1.38 \times 10^{-3}$  atm. For a better understanding of the impact of each growth parameter, the diameter of the mask holes and the spacing between apertures were fixed for the whole work.

#### ▪ Effect of the growth temperature

Figures 1 (a) to (e) show tilted-view SEM images of samples grown for 30 minutes at different growth temperatures:  $T_1 = 580$   $^\circ\text{C}$ ,  $T_2 = 610$   $^\circ\text{C}$ ,  $T_3 = 640$   $^\circ\text{C}$ ,  $T_4 = 660$   $^\circ\text{C}$  and  $T_5 = 690$   $^\circ\text{C}$ . It is clear that the selectivity and the growth rates are dependent on the growth temperature showing a noticeable effect on the geometry of InN nanorods obtained by SAG. At a growth temperature of

580 °C, random nucleation of InN occurs on the mask as shown in Figure 1 (a). When the growth temperature is higher than 610 °C, the growth of vertically aligned InN nanorods with a perfect selectivity becomes favorable. Previous reports dealing with SAG of nitrides by MBE and MOVPE, have shown that selectivity is driven by the surface diffusion of adatoms and their probability to reach the mask holes is enhanced at high growth temperatures [29], [30]. The special feature of HVPE when it comes to selective epitaxy is the low adsorption of chloride growth species on the dielectric mask. The uniform diameter of the nanorods shows that growth is not supplied with ad-species on the mask. The growth of nanorods results mainly from direct condensation of species from the vapour phase. Growth is then governed by the kinetics of adsorption, desorption, dechlorination and surface diffusion on the growing crystal facets, as we discuss below. The nanorods diameter is larger than the mask hole because of the epitaxial lateral growth, which was already observed in SAG of nitride materials. [31], [32], [33]



**Figure 1: (a) – (e) SEM images of InN nanorods grown on Ga polar GaN/c-Al<sub>2</sub>O<sub>3</sub> templates masked by SiN<sub>x</sub> at growth temperatures of (a) 580 °C, (b) 610 °C, (c) 640 °C, (d) 660 °C and (e) 690 °C. (f) Axial (black) and radial (red) growth rates of InN nanorods as a function of the growth temperature. The selectivity is achieved from 610 °C. The higher growth temperature of 690 °C results in InN crystal deterioration.**

The axial and radial growth rates of InN nanorods as a function of the growth temperature deduced from SEM images are presented in Figure 1 (f). The relative lengths of InN nanorods

grown at 610 °C, 640 °C, 660 °C and 690 °C are 1.53 μm, 2.44 μm, 2.95 μm and 2.68 μm, respectively. The axial growth rate of InN nanorods gradually increases from 51 nm.mn<sup>-1</sup> to 98 nm.mn<sup>-1</sup> when the growth temperature increases from 610 °C to 660 °C and then decreases to 87 nm.mn<sup>-1</sup> at 690 °C. Several studies on InN layer grown by MOVPE, MBE and HVPE shows similar “bell-shaped” curve of the growth rate as a function of the growth temperature [2]. At temperatures between 610 °C and 660 °C, growth is mainly limited by the adsorption and dechlorination kinetics, and to a lesser extent by the kinetics of surface diffusion of the ad-species on the crystal facets, which all depend on the temperature, dechlorination and surface diffusion being activated upon temperature. Consequently, the axial growth rate shows a strong positive variation with the temperature. At high growth temperature of 690 °C, desorption is favored over adsorption and growth becomes simply limited by the lack of material. At the same time, InN crystal decomposition is enhanced at this temperature due to the weak In-N bond (Figure 1 (e)). As a consequence, the axial growth rate decreases. On the other hand, the radial growth rate remains low and varies slightly with the growth temperature. The relative diameters of InN nanorods grown at 610 °C, 640 °C, 660 °C and 690 °C are 0.45 μm, 0.55 μm, 0.58 μm and 0.56 μm, respectively. The morphology of InN nanorods changes from pencil-like shape (Figure 1 (b)) to a perfect hexagonal pillar surrounded by the vertical (1-100) and (11-20) facets and (0001) top facet (Figure 1 (c)) by increasing the growth temperature from 610 °C up to 640 °C. The angle for the side edge referring to the (0001) plane in Figure 1(b) is  $63 \pm 2^\circ$  which corresponds to the (1-101) r-plane facet of wurtzite InN. The existence of such morphologies is related to the anisotropic growth rates of the different facets [28]. The disappearance of the r-planes facets at higher growth temperature of 640 °C is probably due to an increase in their growth rate with respect to the c-plane facet, as will be seen below.

#### ▪ Effect of the input NH<sub>3</sub> partial pressure

Bird's eye view SEM images of the samples grown for 5 minutes with  $5.00 \times 10^{-2}$  atm,  $1.00 \times 10^{-1}$  atm,  $1.50 \times 10^{-1}$  atm and  $2.00 \times 10^{-1}$  atm of  $P_{\text{NH}_3}^0$  are shown in Figures 2 (a), (b), (c), (d) respectively. Clearly, selectivity is achieved for all samples whatever  $P_{\text{NH}_3}^0$ , unlike what was observed in previous MBE studies where selectivity has been shown to depend on the V/III ratio. For example, Kamimura et al [19] showed that the selectivity of InN nanorods grown by MBE was improved under In-rich conditions, allowing indium adatoms to easily diffuse on the mask

surface and reach the holes before reacting with nitrogen to yield spontaneous nucleation of InN on the mask. The absence of any InN nucleation on the mask surface at high  $\text{NH}_3$  partial pressure confirms the low adsorption of III-chlorides species on the dielectric mask in the HVPE process.

Also, there is a clear transition in the axial growth rate as a function of  $P_{\text{NH}_3}^0$  as plotted in Figure 2 (e) while the radial growth decreases very slightly. For a low  $P_{\text{NH}_3}^0$  of  $5.00 \times 10^{-2}$  atm supplied in the vapor phase (Figure 2 (a)), the axial growth rate is equal to  $320 \text{ nm}\cdot\text{min}^{-1}$ . An increase of  $P_{\text{NH}_3}^0$  enhances the axial growth rate to reach the maximum  $362 \text{ nm}\cdot\text{min}^{-1}$  at  $1.00 \times 10^{-1}$  atm (Figure 2 (b)). Then, it decreases for higher  $P_{\text{NH}_3}^0$  as seen in Figure 2 (c) and (d).

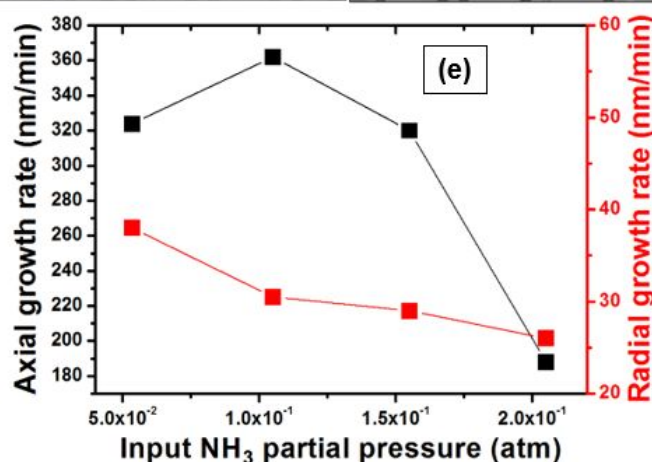
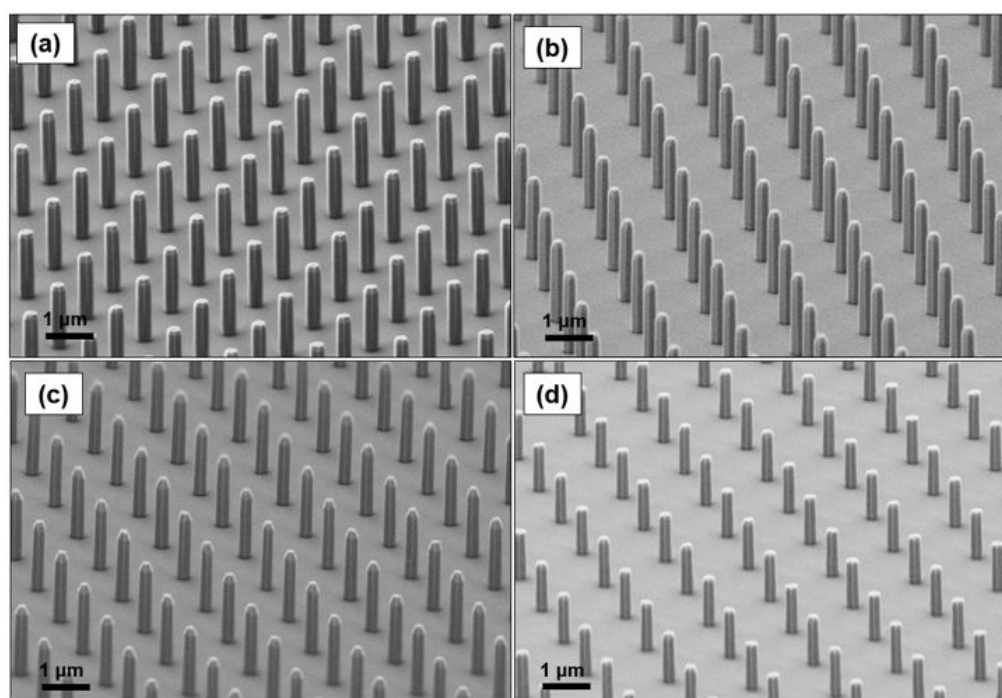




Figure 2: (a) – (d) SEM images of InN nanorods grown on Ga polar GaN/c-Al<sub>2</sub>O<sub>3</sub> templates masked by SiN<sub>x</sub> at different input NH<sub>3</sub> partial pressures (a) 5.00 x 10<sup>-2</sup> atm, (b) 1.00 x 10<sup>-1</sup> atm, (c) 1.50 x 10<sup>-1</sup> atm and (d) 2.00 x 10<sup>-1</sup> atm. (e) Axial (black) and radial (red) growth rates of InN nanorods as a function of the input NH<sub>3</sub> partial pressure. Nanorods growth rate and morphology depend on the introduced partial pressure of NH<sub>3</sub>.

To further explain these observations, the supersaturation parameter is introduced. It represents the state of the growth reaction: InCl<sub>3</sub> + NH<sub>3</sub> ↔ InN + 3 HCl with respect to its equilibrium. The supersaturation is defined as the ratio of the real partial pressures P<sub>i</sub> above the substrate with respect to the partial pressures of equilibrium:

$$\gamma_{InN} = \frac{P_{InCl_3} P_{NH_3} K}{P_{HCl}^3} - 1 \quad (1)$$

where K is the equilibrium constant calculated from thermochemical data. Further details on thermodynamic calculation can be found in Ref<sup>[34]</sup>.

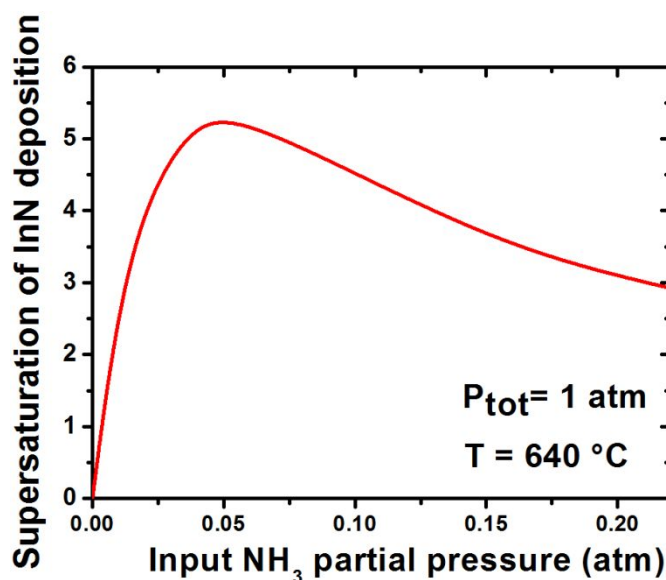
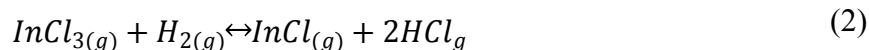


Figure 3: Plot of the supersaturation parameter for InN deposition as a function of the input NH<sub>3</sub> partial pressure. P<sub>tot</sub> is the total pressure in the reactor. The supersaturation in the vapor phase to promote InN deposition decreases at higher P<sup>0</sup><sub>NH<sub>3</sub></sub>.

The supersaturation in the vapour phase above the substrate needed to promote InN crystal growth is plotted in Figure 3. The supersaturation increases with the supply of NH<sub>3</sub>, until a peak

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3 value where it begins to decrease. When  $P_{\text{NH}_3}^0$  increases, hydrogen species produced by the  
4 dissociation of ammonia in the mixing zone increases and react quasi-totally with  $\text{InCl}_3$  before  
5 deposition according to the following reaction:  
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13 Yet,  $\text{InCl}$  molecules are not involved in the growth of  $\text{InN}$  due to their low reactivity towards  
14  $\text{NH}_3$ , as previously demonstrated by Koukitu et al [35]. At the same time, this reaction is  
15 counterbalanced by the formation of  $\text{HCl}$  species in the vapour phase. As a consequence, the  
16 supersaturation of  $\text{InN}$  deposition decreases and the axial growth rate decreases at higher  $P_{\text{NH}_3}^0$ .  
17 Similar findings were observed with respect to the effect of  $\text{H}_2$  on the growth rate of  $\text{InN}$  films  
18 grown by HVPE [2], [35]. Other studies by MOVPE showed that the effect of  $\text{NH}_3$  on the growth  
19 rate of  $\text{InN}$  layers is linked to the growth temperature since  $\text{NH}_3$  decomposition kinetics depends  
20 mainly on this parameter [2]. In the HVPE process, the growth temperature, which is higher than  
21  $600^\circ\text{C}$ , is supposed to be high enough to overcome this limitation. Furthermore, previous studies  
22 demonstrated that the radial growth rate of  $\text{GaN}$  rods grown by HVPE decreases at a high partial  
23 pressure of  $\text{HCl}$  and/or  $\text{H}_2$  [26], [27]. These findings are in accordance with the theoretical results  
24 dealing with the effect of  $\text{H}_2$  on the growth rate of non-polar  $\text{GaN}$  (1-100) surfaces [36]. In that  
25 sense, we suppose that such decrease of the radial growth rate of  $\text{InN}$  nanorods can be related to  
26 the additional  $\text{HCl}$  in the vapour phase formed by reaction (2) and/or  $\text{H}_2$  produced by  $\text{NH}_3$   
27 dissociation. This supports the fact that the lateral growth rate of nanorods grown in this study  
28 remains low whatever the V/III ratio and the growth temperature.  
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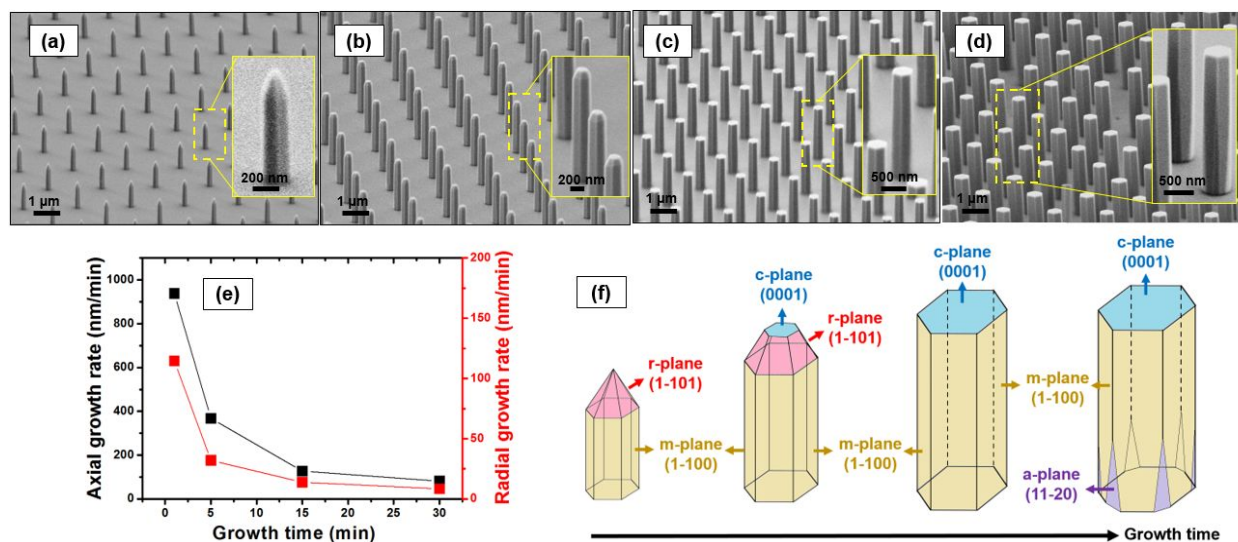
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42  $\text{KOH}$  etching in aqueous solution at  $40^\circ\text{C}$ , which is known to etch only N-polar surfaces, reveals  
43 that the  $\text{InN}$  nanorods have In-polarity on the (0001) top facet, indicating that (1-101) r-planes  
44 surfaces are nitrogen-terminated. It has been pointed out by several works that hydrogen can  
45 easily passivate the N-terminated surfaces [37]. Under our growth conditions we believe that the r-  
46 planes can be passivated by H atoms originating from the  $\text{NH}_3$  decomposition and forming N-H  
47 bonds, which leads to stable (1-101) r-planes facets. However, contrary to what was foreseen, the  
48 r-planes facets disappear at higher  $P_{\text{NH}_3}^0$  of  $2.00 \times 10^{-1}$  atm as we can see in Figure 2 (d).  
49 According to Wulff theory of crystal growth, we assume that the disappearance of the (1-101) r-  
50 planes facets at this high  $P_{\text{NH}_3}^0$  is related to the reduced growth rate of the (0001) c-plane facet.  
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3 This disappearance of the r-planes facets in favor of the c-plane is in agreement with the effect of  
4 the growth time as presented below.  
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7     ▪ **Evolution of the morphology of InN nanorods with the growth time**  
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10 The typical morphologies of InN nanorods grown at different growth times:  $t_1 = 1$  min,  $t_2 = 5$   
11 min,  $t_3 = 15$  min and  $t_4 = 30$  min are presented in parts (a), (b), (c) and (d) of Figure 4,  
12 respectively. The insets show the enlarged tilted view of InN nanorods shape. At the early stage  
13 of growth, InN nanorods have a pencil-like shape delimited by the semi-polar (1-101) r-planes  
14 and non-polar (1-100) m-planes facets, as shown in Figure 4 (a). As the growth proceeds, the (1-  
15 101) facet area decreases and the (0001) facet starts to appear with the exposure time to the vapor  
16 phase (Figure 4 (b)). At 15 minutes, InN nanorods growth occurs with well-developed (0001) top  
17 c-plane facet and (1-100) side m-planes facets. Longer growth time of 30 min results in the  
18 appearance of (11-20) side a-planes facets at the bottom part of the nanorods (Figure 4 (d)). The  
19 facets which remain after growth had the slowest development. The growth rate of the (1-100) m-  
20 planes is low due to HCl and/or  $H_2$  passivation, (1-100) m-planes always limit the final  
21 morphology of the rods. Then, there is a competition between the growth rates of the c-plane and  
22 r-planes facets.  
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33 The axial and radial growth rates of the InN nanorods along (0001) and (1-100) directions,  
34 respectively, as a function of the growth time are reported in Figure 4 (e). During the first stages  
35 of the growth, the axial and radial growth rates are very fast, about  $938 \text{ nm}\cdot\text{min}^{-1}$  and  $114$   
36  $\text{nm}\cdot\text{min}^{-1}$ , respectively, for a 1 min growth time. Then they strongly decrease within a short time  
37 of 5 min. Finally, they respectively stabilize around  $85 \text{ nm}\cdot\text{min}^{-1}$  and  $8 \text{ nm}\cdot\text{min}^{-1}$ .  
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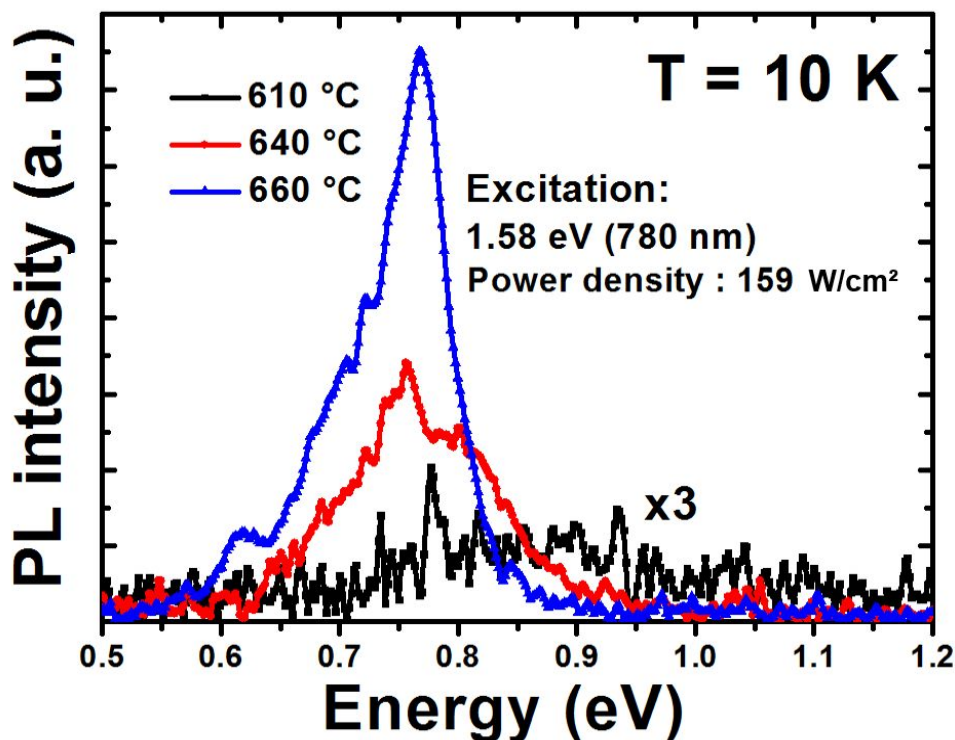
**Figure 4: (a) – (d) SEM images of InN nanorods grown on Ga polar GaN/c-Al<sub>2</sub>O<sub>3</sub> templates masked by SiN<sub>x</sub> at different growth times (a) 1 min , (b) 5 min, (c) 15 min and (d) 30 min. (e) Axial (black) and radial (red) growth rates of InN nanorods as a function of the growth time. (f) Schematic illustration of InN crystal shape evolution as a function of the growth time. The nanorods morphology changes from a pencil-like shape to a hexagonal shape with the growth time.**

From these observations and according to Ref [26], we assume that the growth rate is governed by both mass transport and surface kinetics. At the initial stage of the growth, the growing surface area is small and the depletion of the growth precursors in the vapor phase is very limited. The growth rates are then at their maximum and can be considered as kinetically-limited. The growth rate of the (0001) c-plane facet is then greater than those of the (1-101) r-planes and (1-100) m-planes facets, this is the reason why the nanorods have a pencil-like shape at 1 minute. When the growth time increases, the growing surfaces of the nanorods extend gradually due to the positive axial and lateral growth rates. This induces a vapor phase depletion of reacting species near the InN surface that cannot be neglected. The reactive materials that reach the surfaces of the InN rods are then not sufficient. Thus, the growth turns into mass-transport limited. Consequently, the axial growth rate decreases and the top (0001) c-plane facet begins to appear after 5 minutes. Then, the (1-101) r-planes facets, of which growth rate exceeds the growth rate along (0001), disappear (the crystal is now limited by the top c-plane facet) and the hexagonal prism emerges as the dominant structure, as we can see after 15 minutes of growth.

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3 Finally, the appearance of the (11-20) a-planes facets after 30 minutes of growth is the  
4 consequence of the decrease of their growth rates with respect to the (1-100) m-planes facets.  
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7     ▪ **Optical properties of InN nanorods**  
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10 The optical properties of InN nanorods were investigated by photoluminescence (PL) with a  
11 continuous laser power of 50 mW. The PL measurements were first performed on nanorod arrays  
12 at a temperature of 10 K. Figure 5 exhibits the strong dependence of the PL spectra, in term of  
13 intensity, peak energy and linewidth as a function of the growth temperature. For nanorods grown  
14 at the lowest temperature ( $T = 610\text{ }^{\circ}\text{C}$ ) only a broad emission centered at 0.85 eV is observed. PL  
15 emission of InN nanorods grown at very high temperature ( $T = 690\text{ }^{\circ}\text{C}$ ) has been not detected  
16 because of the low quality of the material. The samples grown at  $T = 640\text{ }^{\circ}\text{C}$  and  $T = 660\text{ }^{\circ}\text{C}$ ,  
17 however, exhibit stronger PL emissions centered at 0.77 and 0.76 eV, respectively, with a  
18 substantial increase in the PL peak intensity for the sample grown at 660 °C compared to that of  
19 sample grown at 640 °C. The nanorods size is higher at 660 °C which may explain the increased  
20 PL intensity. These observations are somewhat consistent with previous reported results, where  
21 the PL intensity gradually increased with the growth temperature from 440 °C to 525 °C [38].  
22 Moreover, the full width at half maximum (FWHM) of nanorods grown at 640 °C is larger than  
23 those obtained at a growth temperature of 660 °C. These observations tend to indicate that InN  
24 nanorods grown at 660 °C are of higher quality.  
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**Figure 5 : Photoluminescence spectra at 10 K of InN nanorods grown on patterned GaN/Al<sub>2</sub>O<sub>3</sub> templates at different growth temperatures under a laser power density of 159 W/cm<sup>2</sup>.**

The PL peak energy (0.76 eV) recorded at low PL temperature (10 K) is significantly larger than the typically measured value of 0.692 eV related to intrinsic InN material. It has been reported that unintentionally-doped InN nanowires emitting at 0.75 eV possess a high electron concentration, around  $10^{18}$  cm<sup>-3</sup> [39]. For n-type semiconductors, the increase of electron concentration is known to shift the Fermi level into the conduction band leading to an increase of the emission energy through the band-filling Burstein-Moss effect. This high energy of 0.76 eV indicates that our InN nanorods probably contain a significant density of free electrons. The fact that as grown InN material (nanowires, films) are mostly unintentionally n-type with a high residual doping is not really explained. The reported causes of this unintentional doping are nitrogen vacancies, monatomic hydrogen and other impurities [40], [41], [42]. As previously shown, nitride materials grown by HVPE contain small amount of Si, coming from the hot quartz wall of the reactor, yielding a residual n-type doping [43]. On the other hand, several studies evidence the presence of an electron accumulation layer on polar and non-polar planes of both InN films and

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3 nanorods [25], [39], [44], [45]. It is assumed that this accumulation layer is due to surface states (density  
4 of positive charge), which are intrinsic of InN surfaces, and to doping mechanisms which are  
5 thickness-dependent [46], [47]. Segura-Ruiz *et al.* have shown that the effect of the surface electron  
6 accumulation layer on the optical band gap of InN nanocolumn grown by MBE is more  
7 pronounced when the diameter decreases [25]. Ahn *et al.* have revisited these findings through a  
8 detailed study comparing the optical properties of InN film and nanorods with different diameters  
9 [24]. This may explain, in part, the high peak energy of 0.85 eV recorded from the InN nanorods  
10 grown at 610 °C, which present small diameters.  
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18 In order to understand the nature of the InN nanorod PL, temperature-dependent PL experiments  
19 have been carried out from 10 to 300 K on the sample grown at 660 °C. It is shown that the  
20 emission spectra depend critically on the PL temperature. The temperature-dependent peak  
21 energy of InN nanorods plotted in Figure 6 (b) strongly differs from the Varshni-like temperature  
22 dependence of the InN bandgap energy. Due to the high free electron density on the lateral  
23 surfaces, the carrier redistribution on the electronic states is greatly inhomogeneous and reveals  
24 an anomalous behavior of the peak energy and FWHM as a function of temperature. When the  
25 PL temperature increases, the generated photoholes in the inner core can move over a deeper  
26 region and recombine with electrons in the surface accumulation layer which provides a blueshift  
27 followed by a noticeable broadening of the PL peak. The normal redshift induced by the  
28 temperature dependence of the band gap, which is always present, can compensate the blueshift,  
29 consequently the PL peak energy appears to be independent of the temperature. The variation in  
30 the density of the positive charge at the nonpolar surfaces from a nanorod to another can also  
31 induce a noticeable broadening of the PL spectra [25].  
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43 The thermal quenching of PL peak intensity can be fitted by the following equation:  
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$$I(T) = \frac{I_0}{1 + A \exp\left(-\frac{E_a}{k_B T}\right) + B \exp\left(-\frac{E_b}{k_B T}\right)} \quad (3)$$

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52 where  $E_a$  and  $E_b$  denote the thermal activation energies at low and high temperatures,  
53 respectively, A and B are the fitting constants,  $I_0$  is the PL peak intensity at 10 K and  $k_B$  is  
54 the Boltzmann's constant. The Arrhenius plot of  $I(T)/I_0$  as a function of  $1/T$  is displayed in Figure  
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3 6 (c). Fitting the equation (3) gives  $E_a$  and  $E_b$  respectively equal to  $11 \pm 1.5$  meV and  $31 \pm 1.5$   
4 meV. The activation energy of 11 meV at low temperature can be attributed to structural defects  
5 in our nanorods. The binding energy of the localized hole states considered as acceptor like  
6 centers in the n-type region can be extracted from the half-amplitude energy ( $E_{1/2}$ ) from the PL  
7 spectra at low temperature [48]. We estimate the binding energy of these acceptor like centers to  
8 be 40 meV, in rather good agreement with the value of  $31 \pm 1.5$  meV determined through PL  
9 experiments as a function of temperature. Our experimental observation is also very close to  
10 previously reported experimental values in the range of  $\sim 25$ -50 meV, which are associated to  
11 impurities [24], [25]. The n concentration deduced from the analysis of the low temperature  
12 spectrum related to the sample grown at 660 °C is about  $2.6 \times 10^{18}$  cm<sup>-3</sup>.  
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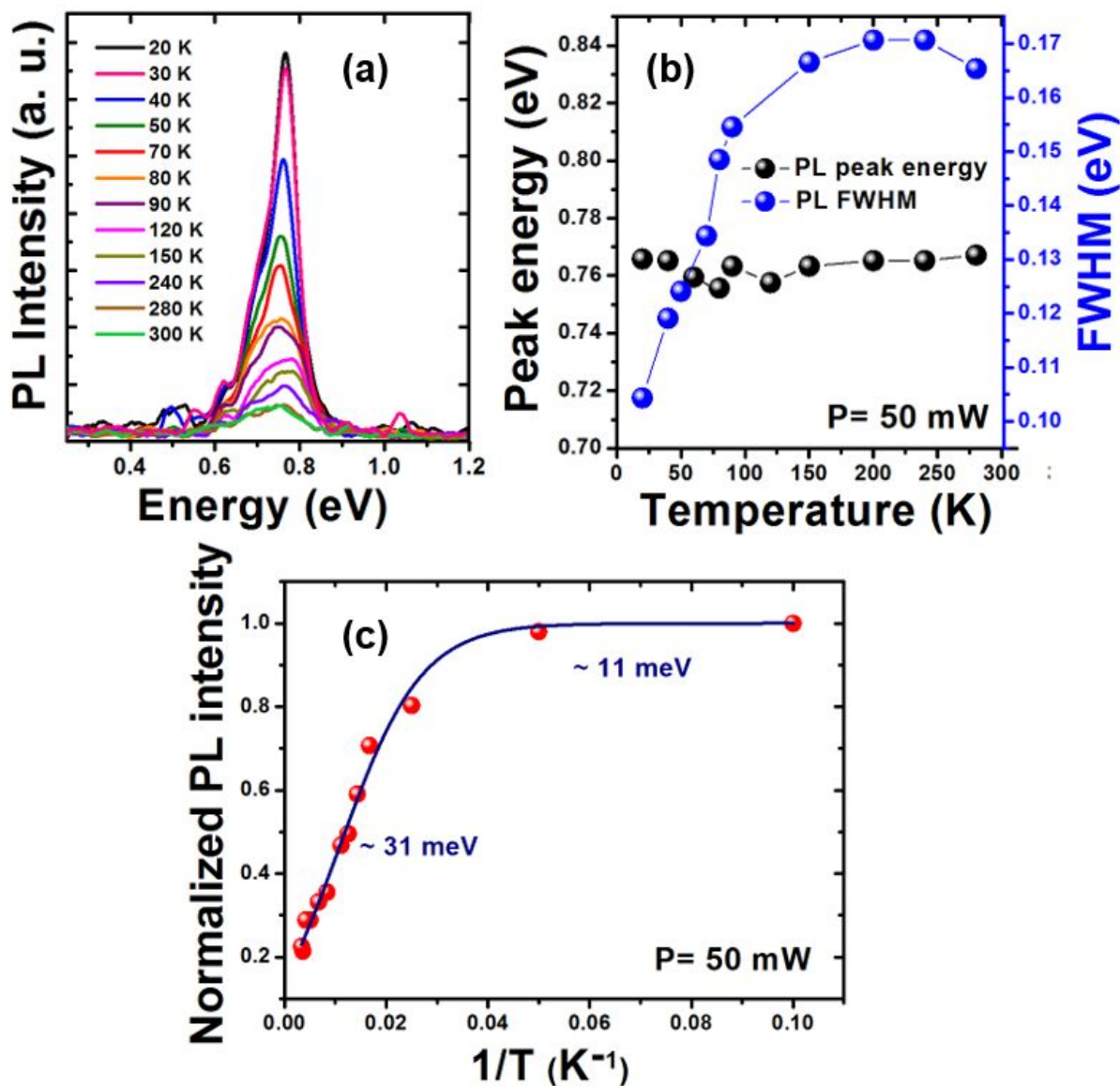


Figure 6: Temperature dependence of (a) PL intensity and (b) PL peak energy and PL bandwidths of InN nanorods grown on patterned GaN/Al<sub>2</sub>O<sub>3</sub> templates at 660 °C. (c) Normalized intensity variation of the PL intensity as a function of the reciprocal temperature.

#### 4. Conclusion

In this work the selective area growth (SAG) of InN nanorods on sapphire substrates with a Ga-polar GaN buffer layer was explored. We showed that the selectivity and the nanorods morphology depend strongly on the growth temperature. The effect of the input NH<sub>3</sub> on the

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3 growth rates and the shape of nanorods was investigated. It revealed that the hydrogen species,  
4 generated from  $\text{NH}_3$  decomposition, have a significant impact on the supersaturation of InN  
5 deposition. The effect of the mass transport in the vapor phase on the growth rate is found to be  
6 more significant at larger growth time. The nanorod morphology can be simply tuned from pencil  
7 to a perfect hexagonal shape by changing the growth conditions. The optical quality of HVPE-  
8 grown InN rods is assessed by PL measurements which reveal a good agreement with high  
9 quality MBE-grown InN nanowires. These results highlight the potential of the HVPE process to  
10 achieve SAG of well-controlled arrays of InN nanorods. HVPE appears to be a relevant  
11 promising growth technique for future InN nanorods based-devices.  
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## 19 **Author contributions**

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22 The manuscript was written through contributions of all authors. All authors have given approval  
23 to the final version of the manuscript.  
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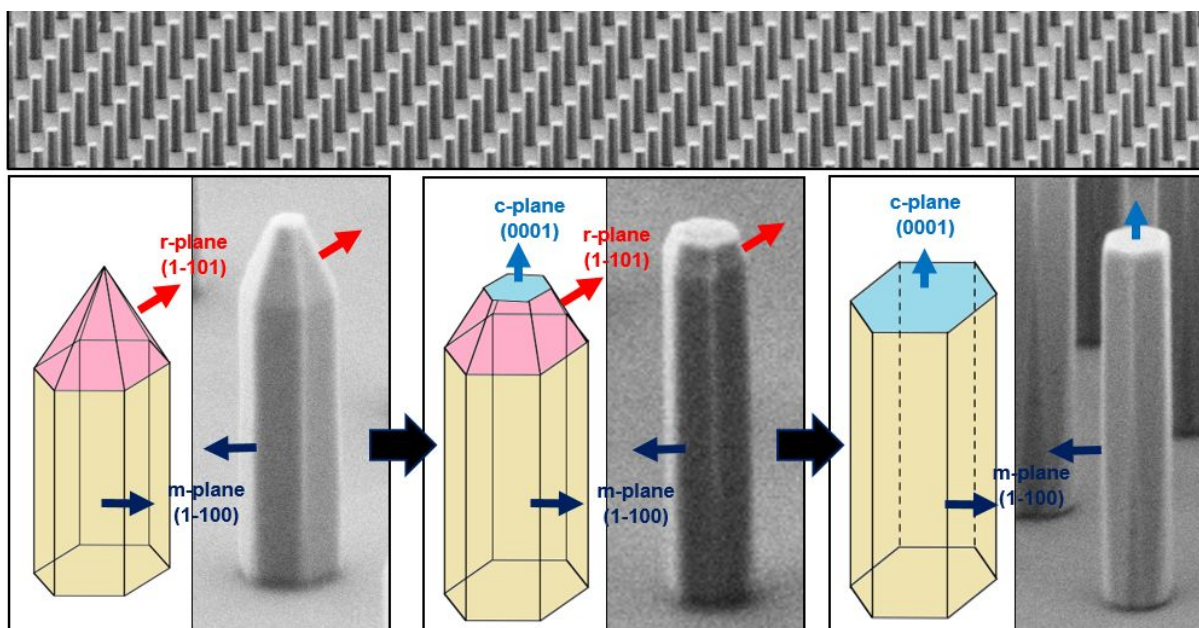
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## Morphological control of InN nanorods by SAG-HVPE

Mohammed Zeghouane, Geoffrey Avit, Yamina André, Thierry Taliercio, Pierre Ferret, Evelyne Gil, Dominique Castelluci, Pierre Disseix, Joel Leymarie, Eric Tournié, and Agnès Trassoudaine



The selective epitaxy of hexagonal InN nanorods by HVPE is now well understood: the hierarchy of growth rates of the different facets according to the experimental parameters is determined and the physical phenomena involved are identified.



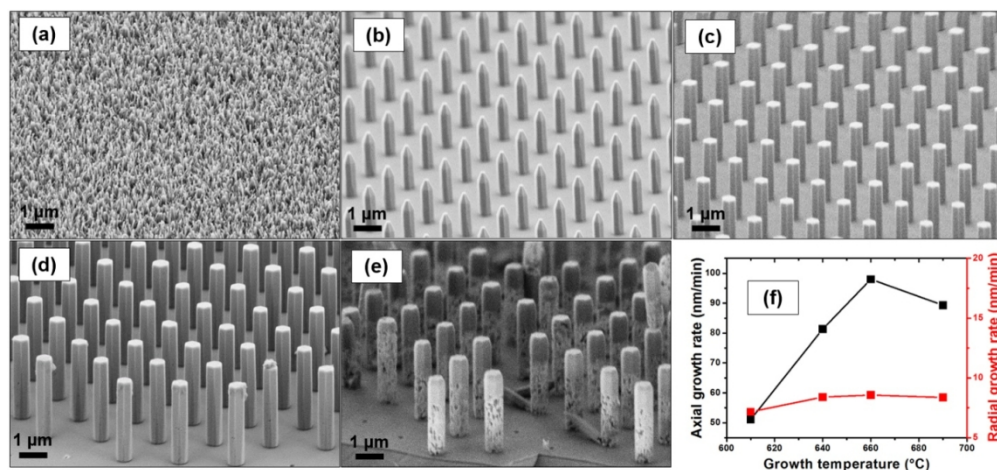


Figure 1: (a) – (e) SEM images of InN nanorods grown on Ga polar GaN/c-Al<sub>2</sub>O<sub>3</sub> templates masked by SiN<sub>x</sub> at growth temperatures of (a) 580 °C, (b) 610 °C, (c) 640 °C, (d) 660 °C and (e) 690 °C. (f) Axial (black) and radial (red) growth rates of InN nanorods as a function of the growth temperature. The selectivity is achieved from 610 °C. The higher growth temperature of 690 °C results in InN crystal deterioration.

264x135mm (138 x 125 DPI)



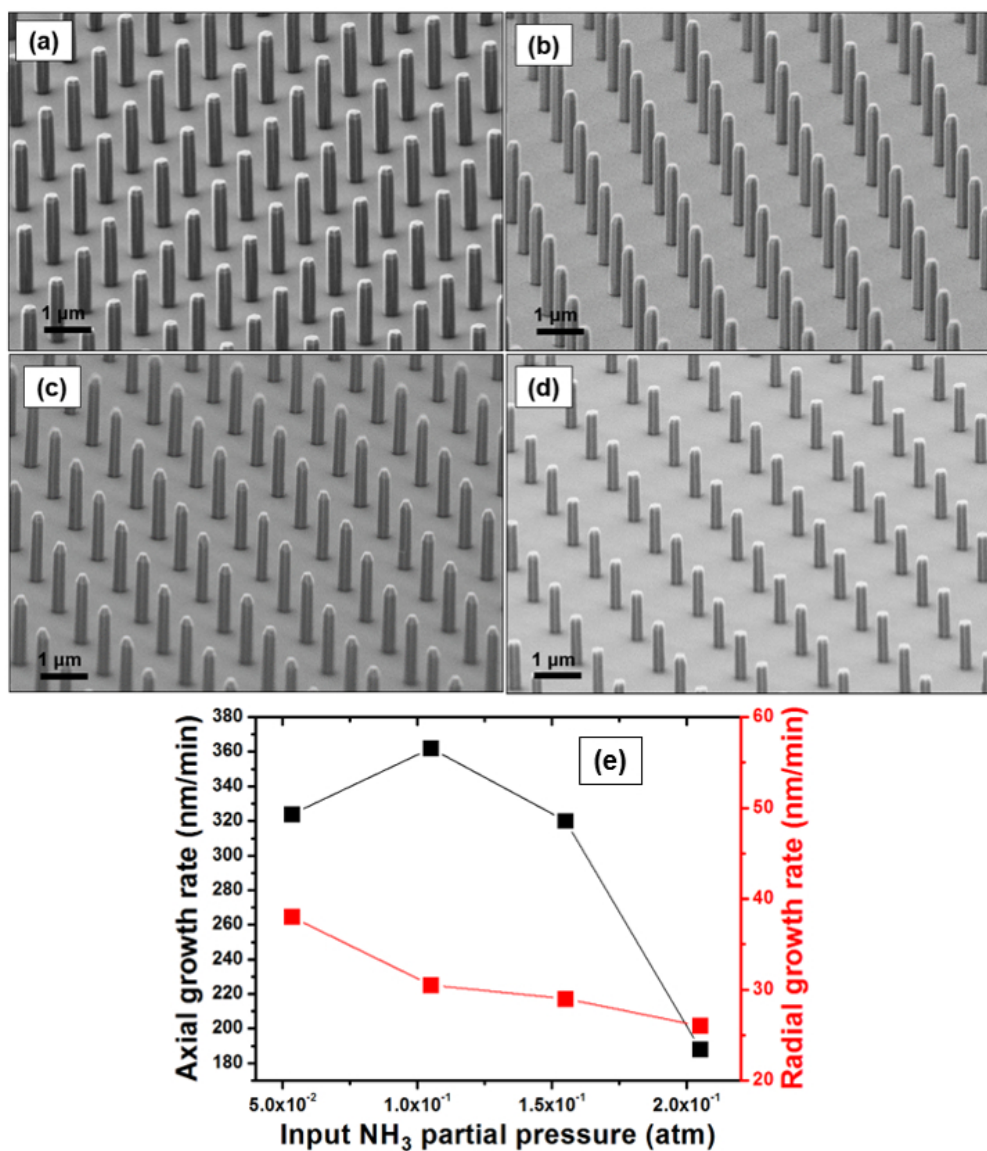


Figure 2: (a) – (d) SEM images of InN nanorods grown on Ga polar GaN/c-Al<sub>2</sub>O<sub>3</sub> templates masked by SiN<sub>x</sub> at different input NH<sub>3</sub> partial pressures (a) 5.00 × 10<sup>-2</sup> atm, (b) 1.00 × 10<sup>-1</sup> atm, (c) 1.50 × 10<sup>-1</sup> atm and (d) 2.00 × 10<sup>-1</sup> atm. (e) Axial (black) and radial (red) growth rates of InN nanorods as a function of the input NH<sub>3</sub> partial pressure. Nanorods growth rate and morphology depend on the introduced partial pressure of NH<sub>3</sub>.

183x212mm (100 x 99 DPI)

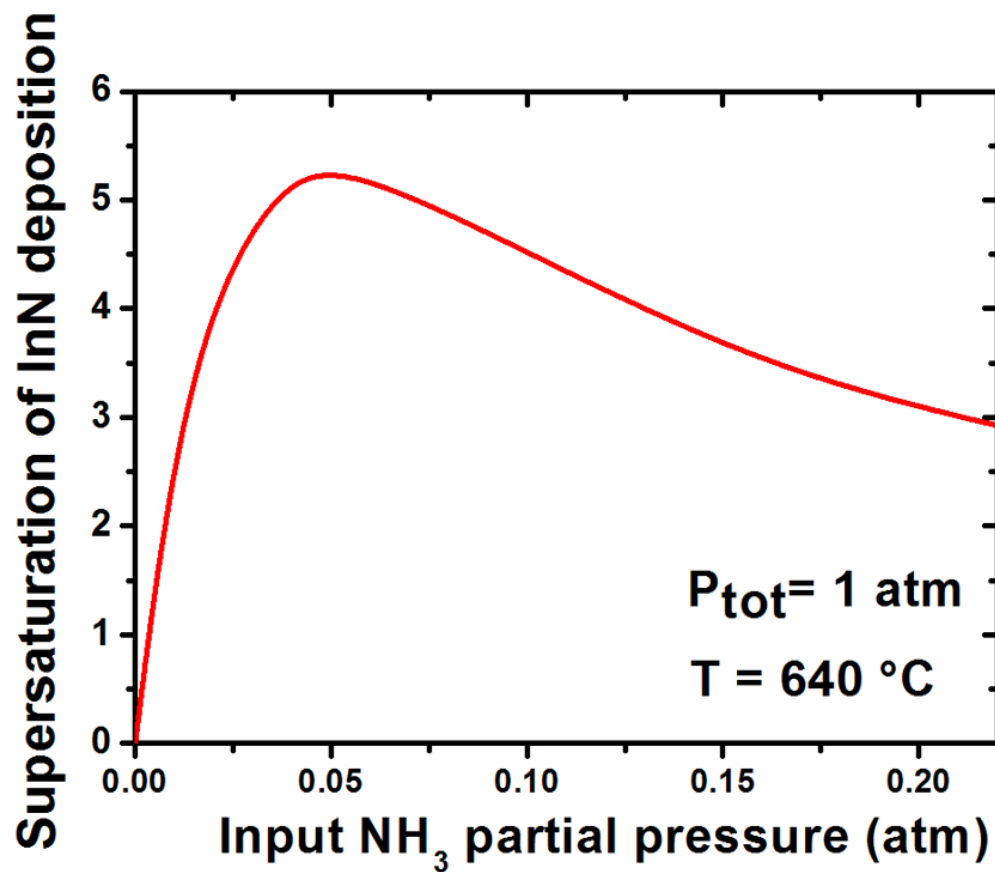


Figure 3: Plot of the supersaturation parameter for InN deposition as a function of the input  $\text{NH}_3$  partial pressure.  $P_{\text{tot}}$  is the total pressure in the reactor. The supersaturation in the vapor phase to promote InN deposition decreases at higher  $P_{\text{NH}_3}$ .

141x123mm (150 x 150 DPI)

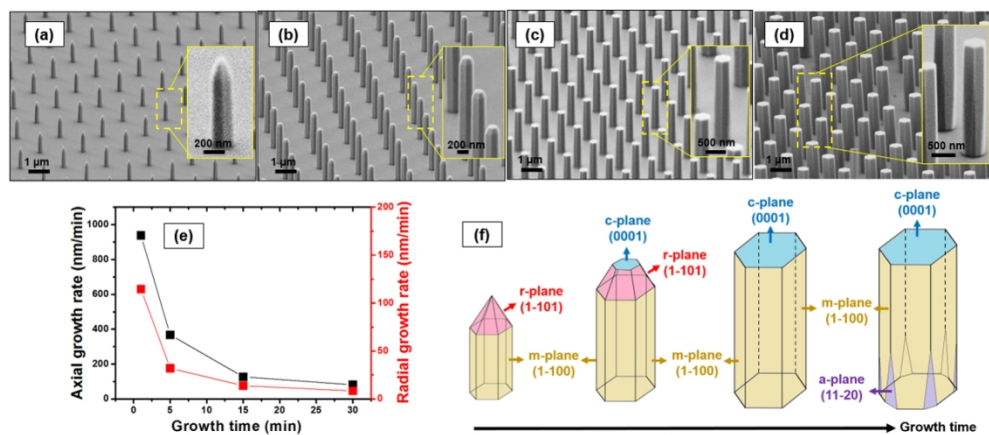


Figure 4: (a) – (d) SEM images of InN nanorods grown on Ga polar GaN/c-Al<sub>2</sub>O<sub>3</sub> templates masked by SiN<sub>x</sub> at different growth times (a) 1 min , (b) 5 min, (c) 15 min and (d) 30 min. (e) Axial (black) and radial (red) growth rates of InN nanorods as a function of the growth time. (e) Schematic illustration of InN crystal shape evolution as a function of the growth time. The nanorods morphology changes from a pencil-like shape to a hexagonal shape with the growth time.

271x134mm (121 x 105 DPI)

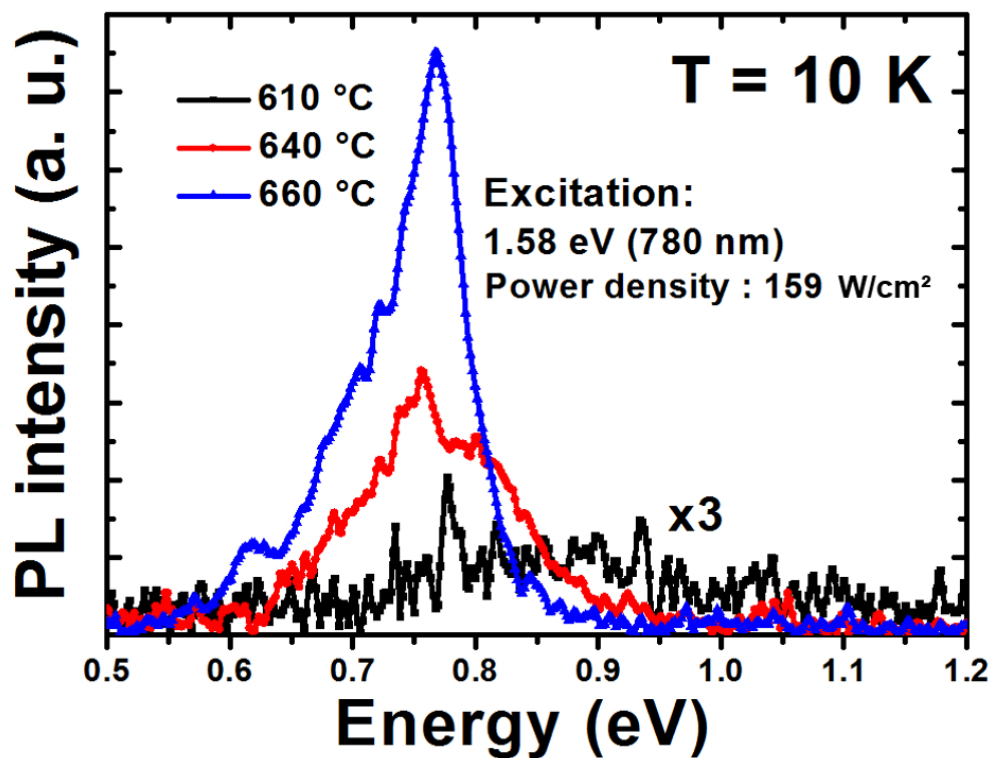


Figure 5 : Photoluminescence spectra at 10 K of InN nanorods grown on patterned GaN/Al<sub>2</sub>O<sub>3</sub> templates at different growth temperatures under a laser power density of 159 W/cm<sup>2</sup>.

193x150mm (124 x 123 DPI)

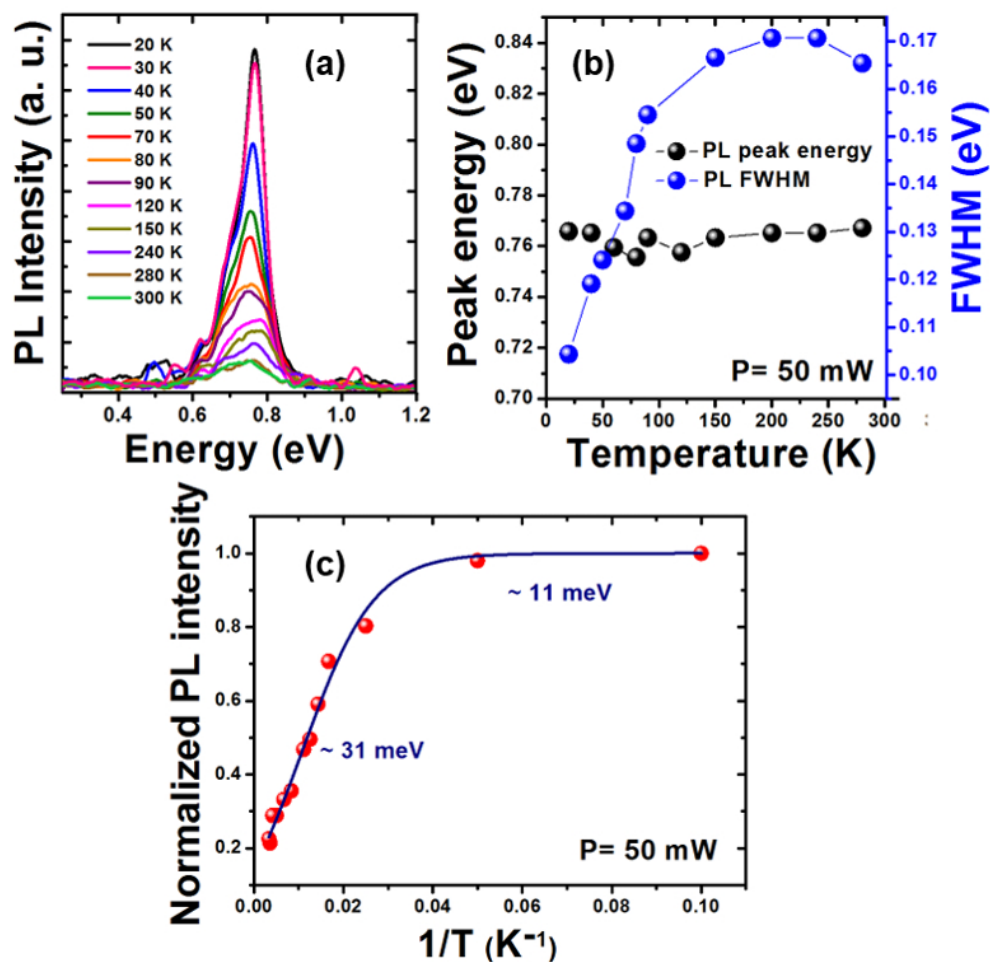


Figure 6: Temperature dependence of (a) PL intensity and (b) PL peak energy and PL bandwidths of InN nanorods grown on patterned GaN/Al<sub>2</sub>O<sub>3</sub> templates at 660 °C. (c) Normalized intensity variation of the PL intensity as a function of the reciprocal temperature.

181x173mm (110 x 113 DPI)